



Client Privacy Policy for Strategi Limited, Strategi Institute Limited and Velis Limited (Strategi Group)

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Purpose

We know that how we collect, use, disclose and protect your information is important to you, and we value your trust. That's why protecting your information and being clear about what we do with it is a vital part of our relationship with you.

The purpose of this Privacy Policy is to inform our clients and any users of our digital platforms (i.e. our website, social media pages, and online learning platform Radar) about how we comply with the requirements of the New Zealand Privacy Act 2020 ("the Privacy Act") in managing personal information.

Strategi Group

Our business (Strategi Group) has three distinct arms. Strategi Institute (Strategi Institute Limited) is an educational facility that provides training to students, Strategi Compliance (Strategi Limited) provides auditing and consultancy services to financial service businesses, and Velis Limited which provides technology solutions to our clients. The three arms of our business (Strategi Compliance, Strategi Institute and Velis Ltd) collect different types of information from the clients it interacts with and use the information in different ways. This privacy policy aims to make the distinctions clear as to what is collected and how each company uses it.

Throughout this policy, "Strategi Group," "we," "our," and "us" refer to both Strategi Institute and Strategi Compliance collectively.

Consent to Privacy Policy

Please note that when you contact us through our website, Radar or social media pages, you are agreeing to this Privacy Policy. If you disagree with this Privacy Policy, please do not contact us through any digital platforms, but call us on +64 9 414 1300. If you do not agree with this Privacy Policy but have viewed it through our website, your [online device information](#) may have already been collected. This information will be treated in accordance with this Privacy Policy.

Collection of personal information

Personal Information is defined in the Privacy Act as information about an identifiable individual (a natural person as opposed to a company or other legal entity).

Types of personal information we collect

The types of personal information we collect will vary depending on the nature of your dealings with us. We only collect necessary personal information. Where reasonable and practicable, we will collect your personal information directly from you and inform you that we are collecting it.

We mainly collect personal information directly from you, for example:

- Over the telephone or a video call (such as Microsoft Teams, Zoom, Skype) e.g. when you talk to our staff or AI agents;
- Through one of our digital platforms like our website, Radar, Facebook and LinkedIn pages (including through any online chat);
- When you engage us to build AI tools, automated processes or training via Velis
- When you email or write to us; or
- When you participate in a marketing campaign, competition or promotion (or a similar event) administered by us or our representatives.

If it is not obvious that we are collecting personal information from you, we will do our best to make it clear so that you are always aware when information is being collected.

Generally, the types of personal information we collect and hold include your:

- Name;
- Contact details (such as your email address, postal address, phone number, and company);
- Details relating to your use of any product and/or service offered by us;
- Details of your enquiry;
- Details of any preferences you tell us about (such as subscription preferences);
- Customer Due Diligence (CDD) documentation for your clients, collected by you under the Anti-Money Laundering and Countering Financing of Terrorism Act 2009 (AML/CFT Act), and provided to us for the purpose of completing an audit – (Strategi Compliance only).

Strategi Institute collects additional information from the people it interacts with and provides services to. These additional types of information include:

- Residency/citizenship status;
- Tax status;
- Gender;



- Date of Birth;
- Ethnicity;
- National Student Number (NSN);
- Who is paying for the course;
- Documentation to verify identity and address (passport, birth certificate, driver's licence etc.);
- Documentation to evidence proficiency in the English language;
- Emergency contact information (such as contact name, relationship, email address, phone number, and physical address);
- Learning difficulties/disabilities and the support you require.

We may also collect personal information about you from:

- Publicly available sources e.g. via the internet;

(For students and clients of Strategi Institute only):

- Your employer to check adviser compliance as to completion of required level of study/ competence, knowledge, and skill.
- Stripe or Windcave, our third party payment service providers – where you have enrolled in one of our courses or purchased a product online.

We collect your personal information from the above parties (other than publicly available sources) where we have received your express consent to do so. We are not responsible for the privacy or security practices of the above parties and the parties described above are not covered by this Privacy Policy.

Online device information – cookies

If you are visiting us through our website or social media pages or engaging with marketing communications that we send you, then we collect information about your use and experience on these by using cookies.

Read our [Cookie Statement](#) and understand what cookies are, why we use them, and how to change your cookie settings.

When you interact with us through our website, Radar, social media pages or engage with marketing communications, the information collected through the cookies may include:

- The date and time of visits;



- Website page (or pages) viewed;
- The website from which you accessed the internet and our website or other digital platform;
- How you navigate through the website and interact with pages (including any fields completed in forms and applications completed (where applicable));
- Information about your location;
- Information about the device used to visit our digital platform; and
- IP address (or addresses), and the type of web browser used.

We will not ask you to publicly supply personal information over Facebook, LinkedIn, Radar or any other social media platform we use. Sometimes we may invite you to send your details to us through a private message, for example, to answer a question. You may also be invited to share your personal information through secure channels to participate in other activities, such as competitions, but we would require your express consent prior to us including you in such activities.

Purpose of collection and use of personal information

Any personal information you provide to us may be used to:

- Check whether you are eligible for the product or services offered by us;
- Facilitate those services;
- Provide information that you request and / or
- Provide you with further information about our other products and services.

We also have an obligation to maintain personal information to disclose to regulatory and similar bodies - see "[Disclosure of your personal information](#)" below. These bodies have a legal right to such information.

Storage and protection of your personal information

We may electronically record and store personal information that we collect from you. When we do so, we will take all reasonable steps to keep it secure and prevent unauthorised disclosure.

However, we cannot promise that your personal information will not be accessed by an unauthorised person (e.g. a hacker) or that unauthorised disclosures will not occur. If we provide you with any passwords or other security devices (e.g. for your Radar subscription), it is important that you keep these confidential and do not allow them to be used by any other person. You should



notify us immediately if the security of your password or security device is breached, this will help prevent the unauthorised disclosure of your personal information.

Most of the information you provide to us will be stored electronically on the cloud, by cloud service providers – see “[Cloud-based service providers](#)” below.

We use a range of physical and electronic security measures to protect the security of the personal information we hold, including:

- Access to information systems is controlled through identity and access management;
- Our buildings are secured with a combination of locks, monitored alarms and cameras to prevent unauthorised access;
- Employees are bound by internal information security policies and are required to keep information secure;
- Employees in the Strategi Compliance business have restricted access to the personal information stored by the Strategi Institute. Strategi Compliance employees cannot access sensitive personal information (such as age, gender, ethnicity) about students of Strategi Institute;
- Employees are required to complete annual training about information security and privacy;
- When we send information overseas or use service providers to process or store information, we put arrangements in place to protect your information;
- We regularly monitor and review our compliance (and our service providers’ compliance) with internal policies and industry best practice; and
- We only keep information for as long as we need it, or as long as the law requires us to. We have a records management policy that governs how we manage our information and records to make sure we destroy any information that is outdated, irrelevant or unnecessary.

Cloud-based service providers

We use third-party service providers to store and process most of the information we collect. We use Microsoft Azure and Zoho One cloud servers located in Australia. We ensure that our cloud-based service providers are subject to appropriate security and information handling arrangements and that the information stored or processed by them remains subject to confidentiality obligations.

Timeframes for keeping personal information

We take reasonable steps to destroy or permanently de-identify any personal information as soon as practicable after the date at which it has no legal or regulatory purpose, or we have no legitimate



business purpose with it. We have a records management policy that governs how we manage our information and records to enable us to destroy any information that is outdated, irrelevant or no longer necessary.

Sharing Information

When you share your personal, company or client documentation (i.e. passports, client files, CDD identification and verification documents) with us to enable us to complete the scope of work assigned, we retain this information for different periods of time depending on the work involved. We have systems and processes in place to ensure that all documentation is safely stored and destroyed. (i.e. to complete an AML/CFT audit, documents and staff vetting information that you send us is securely destroyed approximately one month after we have sent you the final audit report). Please get in touch with us if you require more information on how long we retain your information. You can do so by contacting us at:

PO Box 301426, Albany, North Shore 0752, Auckland, New Zealand

Or via email at info@strategi.co.nz

If you provide us with access to your file-sharing service (i.e. Dropbox, Sharepoint, etc) that contains your personal, company or client information, then you must take all reasonable steps to keep it secure and prevent unauthorised disclosure. It is your responsibility to remove our access after the time period that meets your business or personal requirements.

If there is a privacy breach

We work hard to keep your personal information safe. However, despite applying strict security measures and following industry standards to protect your personal information, there is still a possibility that our security could be breached. If we experience a privacy breach, where there is a loss or unauthorised access or disclosure of your personal information that is likely to cause you serious harm, we will, as soon as we become aware of the breach:

- Seek to quickly identify and secure the breach to prevent any further breaches and reduce the harm caused;
- Assess the nature and severity of the breach, including the type of personal information involved and the risk of harm to affected individuals;
- Advise and involve the appropriate authorities where criminal activity is suspected;
- Where appropriate, notify any individuals who are affected by the breach (where possible, directly);
- Where appropriate, put a notice on our website advising our clients of the breach; and
- Notify the Privacy Commissioner.

Disclosure of your personal information

We may disclose your personal information to others outside Strategi Group where:

- It is necessary to enable us to achieve the purpose that we collected the information for;
- We are required or authorised by law or where we have a public duty to do so;
- You have expressly consented to the disclosure, or your consent can be reasonably inferred from the circumstances; or
- We are permitted to disclose the information under the Privacy Act 2020.

Parties we may disclose your information to

Your personal information may be used by us for the purpose of providing advice and services to you and may also be used by agencies such as, but not limited to:

- Any outsourced service provider who assists in the services we are required to carry out, such as our IT provider of Microsoft SharePoint services (CodeBlue), CRM provider (Zoho), and Student Management System (Selma).
- Our external dispute resolution service;
- The regulator or supervisor;
- Credit reporting and debt collecting organisations;
- Webinar partners and/or hosts;
- Where permission has been granted, with other companies with the same umbrella holding company.

For personal information collected by Strategi Institute, it may also be held on or disclosed to:

- The student management system (Selma),
- The online purchase platform (i.e. Windcave, Stripe),
- External moderators and Regulators (NZQA, Skills NZ, Tertiary Education Commission),
- The online learning platform (Radar)
- Immigration New Zealand (to check your eligibility to study with Strategi Institute),
- Public Trust New Zealand (information to ensure student fee protection),
- Your employer.



If we don't need to share your information with a third party in order to provide advice and services to you, we will not pass on your information to them without your consent. Under no circumstances will we sell or receive payment for disclosing your personal information.

Sending your information overseas

We may send your personal information outside New Zealand, including to overseas service providers or other third parties who process or store our information or provide certain services to us.

Where we do this, it does not change any of our commitments to you to safeguard your privacy. We make sure that appropriate security and information handling arrangements are in place and the information remains subject to confidentiality obligations.

All countries have different privacy laws and information protection standards. If we need to send your personal information to a country that has lower standards of information protection than in New Zealand, we will take appropriate measures to protect your personal information. Where it is not possible to ensure that appropriate security and information handling arrangements are in place, we will let you know and gain your consent prior to sending your personal information overseas.

Third party websites

Through our website or our other social media pages, you may be able to link to other websites that are not under our control. We are not responsible for the privacy or security practices of those third-party websites, and they are not covered by this Privacy Policy. Third-party websites should have their own privacy and security policies, and we encourage you to read them.

In addition, we have no knowledge of (or control over) the nature, content, and availability of those websites. We do not sponsor, recommend, or endorse anything contained on these linked websites. We do not accept any liability of any description for any loss suffered by you by relying on anything contained or not contained on these linked websites.

Right to access, correct and delete personal information

You have the right to request access to, correct and, in some circumstances, delete your personal information. You can do so by contacting us at:

PO Box 301426, Albany, North Shore 0752, Auckland, New Zealand

Or via email at info@strategi.co.nz



When you contact us with such a request, we will take steps to update or delete your personal information, provide you with access to your personal information and/or otherwise address your query within a reasonable period after we receive your request. To protect the security of your personal information, you may be required to provide identification before we update or provide you with access to your personal information.

We can only delete your personal information to the extent that it is not required to be held by us to satisfy any legal, regulatory, or similar requirements.

There is no fee for requesting that your personal information is corrected or deleted or for us to make corrections or deletions. In processing your request for access to your personal information, a reasonable cost may be charged. This charge covers such things as locating the information and supplying it to you.

There are some circumstances in which we are not required to give you access to your personal information. If we refuse to give you access or to correct or delete your personal information, we will let you know our reasons, except if the law prevents us from doing so.

If we refuse your request to correct or delete your personal information, you also have the right to request that a statement be associated with your personal information, noting that you disagree with its accuracy.

If we refuse your request to access, correct or delete your personal information, we will also provide you with information on how to complain about the refusal.

What happens if you do not provide us your information?

If you do not provide the requested information, you may be unable to obtain or access our services for which the information is required. Please ask us if you are unsure what information is important and how this might affect you.

Changes to this Privacy Policy

We review this Privacy Policy periodically to keep it current and available on our website. If the changes are significant, we may advise you directly. You may also obtain a copy of the latest version by calling us on +64 9 414 1300.

Privacy Policy queries and concerns

If you are concerned about how your personal information is being handled or if you feel that we have compromised your privacy in some way, please get in touch with us at:



PO Box 301426, Albany, North Shore 0752, Auckland, New Zealand

Or via email at info@strategi.co.nz

Or by phone +64 9 414 1300.

We will acknowledge your complaint within three working days of its receipt. We will let you know if we need any further information from you to investigate your complaint.

We aim to resolve complaints as quickly as possible. We strive to resolve complaints within five working days, but some complaints take longer. If your complaint is taking longer, we will let you know what is happening and give you a date by which you can reasonably expect a response.

If you are not satisfied with our response to any privacy-related concern, you may lodge a complaint on the Privacy Office website (www.privacy.org.nz) or send a complaint form to the Privacy Commissioner at:

Office of the Privacy Commissioner

P O Box 10-094

Wellington 6143, New Zealand

Fax: 04- 474 7595

Email: enquiries@privacy.org.nz

Telephone: 0800 803 909

Website: www.privacy.org.nz